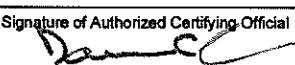


# FINANCIAL STATUS REPORT

(Long Form)

(Follow instructions on the back)

1. Federal Agency and Organizational Element to Which Report is Submitted <b>U.S. ELECTION COMMISSION</b>		2. Federal Grant or Other Identifying Number Assigned By Federal Agency <b>Amended Report-TITLE II, SECTION 251, FY 2006</b>		OMB Approval No. <b>0348-0039</b>	Page of <b>1</b>   <b>1</b> pages
3. Recipient Organization (Name and complete address, including ZIP code) <b>STATE ELECTION COMMISSION OF PUERTO RICO P.O. BOX 195552 SAN JUAN, P.R. 00919-5552</b>					
4. Employer Identification Number <b>66-0433481</b>		5. Recipient Account Number or Identifying Number <b>272-0280000 - -081-2004</b>		6. Final Report <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
7. Basis <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual					
8. Funding/Grant Period (See instructions) From: (Month, Day, Year) <b>9/30/2007</b>		9. Period Covered by this Report From: (Month, Day, Year) <b>10/1/2005</b>		To: (Month, Day, Year) <b>9/30/2006</b>	
10. Transactions:					
		I Previously Reported	II This Period	III Cumulative	
a. Total outlays		154,834.00	6,159.00	160,993.00	
b. Refunds, rebates, etc.		0.00	0.00	0.00	
c. Program income used in accordance with the deduction alternative		0.00	0.00	0.00	
d. Net outlays (Line a, less the sum of lines b and c)		154,834.00	6,159.00	160,993.00	
Recipients share of net outlays, consisting of:					
e. Third party (in-kind) contributions		0.00	0.00	0.00	
f. Other Federal awards authorized to be used to match this award		0.00	0.00	0.00	
g. Program income used in accordance with the matching or cost sharing alternative		0.00	0.00	0.00	
h. All other recipient outlays not shown on lines e, f or g		0.00	0.00	0.00	
i. Total recipient share of net outlays (Sum of lines e, f, g and h)		0.00	0.00	0.00	
j. Federal share of net outlays (line d less line i)		154,834.00	6,159.00	160,993.00	
k. Total unliquidated obligations					
l. Recipients share of unliquidated obligations				0.00	
m. Federal share of unliquidated obligations				0.00	
n. Total Federal share (sum of lines j and m)				160,993.00	
o. Total Federal funds authorized for this funding period				2,518,710.00	
p. Unobligated balance of Federal funds (Line o minus line n)				2,357,717.00	
Program Income, consisting of:					
q. Disbursed program income shown on lines c and/or g above				0.00	
r. Disbursed program income using the addition alternative				0.00	
s. Undisbursed program income				0.00	
t. Total program income realized (Sum of lines q, r and s)				0.00	
11. Indirect Expense					
a. Type of Rate (Place "X" in appropriate box) <input checked="" type="checkbox"/> Provisional <input type="checkbox"/> Predetermined <input type="checkbox"/> Final <input type="checkbox"/> Fixed					
b. Rate		c. Base	d. Total Amount	e. Federal Share	
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation. Please see Attachment B.					
13. Certification: I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.					
Typed or Printed Name and Title <b>Ramón E. Gomez, President, CEE</b>			Telephone (Area code, number and extension) <b>787-777-8682; ext. 2777 or 2272</b>		
Signature of Authorized Certifying Official 			Date Report Submitted <b>April 24, 2007</b>		

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COMMISSION

# FINANCIAL STATUS REPORT

(Long Form)

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0039), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET.**

Please type or print legibly. The following general instructions explain how to use the form itself. You may need additional information to complete certain items correctly, or to decide whether a specific item is applicable to this award. Usually, such information will be found in the Federal agency's grant regulations or in the terms and conditions of the award (e.g., how to calculate the Federal share, the permissible uses of program income, the value of in-kind contributions, etc.). You may also contact the Federal agency directly.

Item	Entry	Item	Entry
1, 2 and 3.	Self-explanatory.	10b.	Enter any receipts related to outlays reported on the form that are being treated as a reduction of expenditure rather than income, and were not already netted out of the amount shown as outlays on line 10a.
4.	Enter the Employer Identification Number (EIN) assigned by the U.S. Internal Revenue Service.	10c.	Enter the amount of program income that was used in accordance with the deduction alternative.
5.	Space reserved for an account number or other identifying number assigned by the recipient.	Note:	Program income used in accordance with other alternatives is entered on lines q, r, and s. Recipients reporting on a cash basis should enter the amount of cash income received; on an accrual basis, enter the program income earned. Program income may or may not have been included in an application budget and/or a budget on the award document. If actual income is from a different source or is significantly different in amount, attach an explanation or use the remarks section.
6.	Check <i>yes</i> only if this is the last report for the period shown in item 8.	10d.	e, f, g, h, i and j. Self-explanatory.
7.	Self-explanatory.	10k.	Enter the total amount of unliquidated obligations, including unliquidated obligations to subgrantees and contractors.
8.	Unless you have received other instructions from the awarding agency, enter the beginning and ending dates of the current funding period. If this is a multi-year program, the Federal agency might require cumulative reporting through consecutive funding periods. In that case, enter the beginning and ending dates of the grant period, and in the rest of these instructions, substitute the term "grant period" for "funding period."		Unliquidated obligations on a cash basis are obligations incurred, but not yet paid. On an accrual basis, they are obligations incurred, but for which an outlay has not yet been recorded.
9.	Self-explanatory.		Do not include any amounts on line 10k that have been included on lines 10a and 10j.
10.	The purpose of columns I, II, and III is to show the effect of this reporting period's transactions on cumulative financial status. The amounts entered in column I will normally be the same as those in column III of the previous report <i>in the same funding period</i> . If this is the first or only report of the funding period, leave columns I and II blank. If you need to adjust amounts entered on previous reports, footnote the column I entry on this report and attach an explanation.		On the final report, line 10k must be zero.
10a.	Enter total gross program outlays. Include disbursements of cash realized as program income if that income will also be shown on lines 10c or 10g. Do not include program income that will be shown on lines 10r or 10s.	10l.	Self-explanatory.
	For reports prepared on a cash basis, outlays are the sum of actual cash disbursements for direct costs for goods and services, the amount of indirect expense charged, the value of in-kind contributions applied, and the amount of cash advances and payments made to subrecipients. For reports prepared on an accrual basis, outlays are the sum of actual cash disbursements for direct charges for goods and services, the amount of indirect expense incurred, the value of in-kind contributions applied, and the net increase or decrease in the amounts owed by the recipient for goods and other property received, for services performed by employees, contractors, subgrantees and other payees, and other amounts becoming owed under programs for which no current services or performances are required, such as annuities, insurance claims, and other benefit payments.	10m.	On the final report, line 10m must also be zero.
		10n.	o, p, q, r, s and t. Self-explanatory.
		11a.	Self-explanatory.
		11b.	Enter the indirect cost rate in effect during the reporting period.
		11c.	Enter the amount of the base against which the rate was applied.
		11d.	Enter the total amount of indirect costs charged during the report period.
		11e.	Enter the Federal share of the amount in 11d.
		Note:	If more than one rate was in effect during the period shown in item 8, attach a schedule showing the bases against which the different rates were applied, the respective rates, the calendar periods they were in effect, amounts of indirect expense charged to the project, and the Federal share of indirect expense charged to the project to date.

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COMMISSION

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**ATTACHMENT B**

Information Requested on Amended Report SF 269-Reporting on Title II, section 251, Fiscal Year 2006

- **Interest Earned during 10/1/05 until 9/30/2006**
  - **\$70,684.87**-This amount was calculated by approximation because all our HAVA Federal awards are deposited in the same bank account (Government Development Bank of PR) and all the interest accrued is reported to us combined.
    - Total interests earned during the reporting period: \$168,297.31
    - Percentage attributed to \$2,319,361 (total amount received on Title II, section 251) from \$5,470,505 (total HAVA funding received): 42%
    - Calculation:  $168,297.31 \times 42\%$
- **Cumulative Interest Earned on Requirements Payments by End of the Reporting Period**
  - **\$83,379.56**
- **Total 5% Sate Match**
  - **\$115,969** ( $=\$2,319,361 \times 5\%$ )
  - This amount was deposited in an "accountable account" number 201-0280000- -082-2004 of the Department of Treasury of PR and it can not earn interests.
  - At this point the State 5% Match fund has not been spent because the State HAVA Committee has not assigned this funding to any planned activities (2005-2006).
  - **\$122,072 (\$2,319,361/95% (5%))**
    - Corrected amount our State needs to match. Our State still needs to complete the required amount with \$6,103, which will be deposited in the same bank account as the above quantity.
- **Maintenance of Effort (MOE) Spent During this Reporting Period**
  - At this point our Commission has not developed a system that can calculate the total amount spent on MOE during each period. However, Puerto Rico will continue to maintain expenditures of State funds for election-related activities covered by the requirements of HAVA Title III at a level not less than the level of expenditures in FY 2000 for those items and activities now required by HAVA Title III and improve the administration of Federal elections.
- **Narrative Report**
  - It will be mailed to you as soon as possible.



U. S. ELECTION ASSISTANCE COMMISSION  
OFFICE OF THE EXECUTIVE DIRECTOR  
1225 New York Avenue, NW, Suite 1100  
Washington, DC. 20005  
(202) 566-3100

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Dante  
17 enero 07

January 10, 2007

Lcdo. Aurelio Gracia Morales  
President  
State Elections Commission  
P.O. Box 195552  
San Juan, Puerto Rico 00919-5552

Reference: Amended HAVA Reports Title II, Section 251 for Fiscal Years 2005 and 2006

**Response Due Date:**  
**January 26, 2007**

Dear Lcdo. Gracia Morales:

The purpose of this letter is to obtain corrected (amended) reports for your state's use of funds under Title II, Section 251 of the *Help America Vote Act of 2002 (HAVA)* for Fiscal Years (FY) 2005 and 2006. The amended SF 269 reports are essential as the U.S. Election Assistance Commission (EAC) prepares its *Annual Report to Congress* which will summarize HAVA funds expenditures, as reported by Puerto Rico.

You are required to file amended SF 269 reports for FY 2005 and FY 2006. This will enable the United States Election Assistance Commission to assure the most accurate information about your programs and expenditures under HAVA are available.

As required by HAVA, Puerto Rico is required to provide a 5% match for Requirements Payments received under Title II, Section 251.

- The total match and interest earned on that amount must be footnoted on Line 12, *Remarks*.
- Include funds spent or obligated from your 5% match on lines 10 *i* and 10 *l*.
- Also place that amount as part of the Total outlay and Total unliquidated (encumbered) obligations on lines 10 *a* and 10 *j* for EACH year of your HAVA plan.

Please add a separate entry on Line 12, to note the maintenance of effort (MOE) for the state fiscal years covered by the report. For example, if your state fiscal year runs from July 1 to June 30, then the report covering Title II requirements payments activities from October 1, 2004 to September 30, 2005 should disclose on Line 12 the amount expended in MOE funds from July 1,

2004 to June 30, 2005 and the amount budgeted for the MOE for the period from July 1, 2005 to June 30, 2006.

The information that you report on the Standard Form 269, the *Long Form*, must include, as an attachment, a brief explanation of the items or programs for which those funds were spent. It is also helpful to provide those expenditures at the end of the brief explanatory paragraph. While describing the expense, please refer to the specific item (and page number) in your State's Plan, as this will further clarify the use of these funds.

Please review the guidance provided in the color-coded Model SF 269 *Long Form* and the Model Narratives enclosed for more information about how to prepare the Amended Title II, Section 251 report(s). A blank copy of the auto-fill form can be found at [www.whitehouse.gov/omb/grants/sf269.pdf](http://www.whitehouse.gov/omb/grants/sf269.pdf).

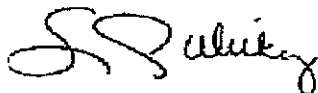
There is also a list of Frequently Asked Questions and other information under the Funding for States section on the EAC website at <http://www.eac.gov/>. Contact Edgardo Cortés by e-mail at [ecortes@eac.gov](mailto:ecortes@eac.gov), by phone at 1-866-747-1471 (toll free) or 202-566-3116 (direct) should you have additional questions.

**The requested Amended report(s) for Title II, Section 251 must be completed and received by the Commission no later than January 26, 2007. If you fail to respond by that time, EAC will have no choice but to forward this matter to the EAC's Office of the Inspector General for review and investigation.**

The Amended report(s) for Title II, Section 251 funds should be mailed to the Election Assistance Commission, Amended 251 Reports, 1225 New York Ave., NW, Suite 1100, Washington, D.C. 20005.

Thank you for your attention to this matter.

Sincerely yours,



Thomas R. Wilkey  
Executive Director

Enclosures:

Model SF 269 *Long Form*  
Model Narratives



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DETAILED LIST OF EXPENDITURES BY PROJECT  
TITLE II, SECTION 251 (CFDA #90.401) - ASSIGNED \$2,319,361  
COVERAGE DATE: 10/1/05-9/30/06

DESCRIPTION	DOC. ID	AMOUNT	TOTALS
<b>TITLE III REQUIREMENTS</b>			
<b>Section 301-Voting System Requirements</b> 1) Voting System Pilot Project	-	-	-
<b>Section 302-Voting System Requirements</b> 2) Voice Activated Information System Corrected Expense/ wrong account - Computer House (O.C. 05-041) Cortelco Systems - supply & maintenance, interactive phone information system	280600705 C#05-078	(5,379.00) 10,999.50	5,620.50
<b>Section 303-Computerized Voter Registration &amp; Verification Requirements</b> 3) Verification of Data Assignments (Mapificación) Instant Print Corp.-(15) rolls coated matte print paper	O.C. 06-098	538.50	538.50
4) Computers For Mobile Units	-	-	-
<b>TOTAL AMOUNT OF EXPENDITURES FROM CURRENT PERIOD</b>			\$ 6,159.00
Note: The Narrative Report for the expenses listed above for this current period will be send subsequently.			
<b>INTERESTS</b>			
TOTAL ACCRUED INTEREST/PREVIOUS PERIOD (MARCH 05-SEPT. 05)		12,694.69	
TOTAL ACCRUED INTEREST/CURRENT PERIOD (10/1/05-9/30/06)		70,684.87	
INTEREST EXPENDITURES (10/1/05-9/30/06)	-	-	83,379.56
Note: State HAVA Committee has not decided yet to which project from the State Plan the accrued interest will be assigned.			
<b>5% STATE MATCH</b>			
STATE MATCH-ASSIGNED STATE FUNDS NEEDED TO COMPLETE 5% ACCRUED INTEREST STATE MATCH EXPENDITURES	6,103.00	115,969.00 - -	115,969.00
Note (1): This amount from State Match was underestimated by mistake multiplying the Federal State fund by 5%; our State still need to complete the required amount which is \$122,071.63 (\$2,319,361/95x(5%))			
Note (2): These matching funds are deposited in an "accountable account" in the Department of Treasury of Puerto Rico" that can not earn interest.			
<b>Number &amp; Type of Articles of Voting Equipment Obtained w/ the Funds</b>			
None			



U.S. ELECTION ASSISTANCE COMMISSION  
1225 NEW YORK AVENUE, N.W., SUITE 1100  
WASHINGTON, D.C. 20005

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OFICINA DEL PRESIDENTE  
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CELESTINE  
OFIC 1ER VICEPRESIDENTE

February 8, 2007

Aurelio Gracia Morales  
President  
State Elections Commission  
P.O. Box 195552  
San Juan, Puerto Rico 00919-5552

Dear Lcdo. Morales:

This letter serves as a reminder that reports on funds provided to States under the Help America Vote Act of 2002 (HAVA), Title I, Sections 101 and 102, and Title II, Section 251, are due soon to the U.S. Election Assistance Commission (EAC). Attached is a chart summarizing the due dates for the reports, the CFDA numbers applicable to the funds provided, the coverage dates for each report, and the form and content of the reports.

To assist you in completing your report, EAC has prepared separate color-coded model reporting forms (SF 269 - *Long Form*) for Title I and Title II reports, as well as models of the supporting narrative. These models are posted on the EAC's website at [www.eac.gov](http://www.eac.gov), under the Funding for States section. This page also has links to a list of Frequently Asked Questions and other information relevant to managing and reporting HAVA funds, which may prove helpful to you. A blank copy of the SF 269 auto-fill form can be accessed at [www.whitehouse.gov/omb/grants/sf269.pdf](http://www.whitehouse.gov/omb/grants/sf269.pdf).

All reports on the HAVA Title I funds and Title II, Section 251 requirements payments should be submitted to the following address:

State HAVA Funding Reports  
U.S. Election Assistance Commission  
1225 New York Avenue, NW - Suite 1100  
Washington, DC 20005

Contact Edgardo Cortés by email at [ecortes@eac.gov](mailto:ecortes@eac.gov), or by phone at 1-866-747-1471 (toll free) or 202-566-3126 (direct) if you have questions or need further assistance.

Sincerely yours,

Thomas R. Wilkey  
Executive Director

Attachment:

# SCHEDULE OF HAVA REPORTS DUE TO EAC IN 2007<sup>1</sup>

Due Date	HAVA Title & Section	CFDA #	Coverage Dates	Report Form and Contents <sup>2</sup>
February 28, 2007	Title I, Section 101  \$2,556,144. <u>\$3,151,144</u>	39.011	January 1, 2006-December 31, 2006	Standard Form 269 with the following attached: <ul style="list-style-type: none"> <li>a detailed list of expenditures by program, function, or task (including dollar amount) made with respect to each category of activities described for the permissible use of funds in HAVA Section 101(b);</li> <li>the number and type of articles of voting equipment obtained with the funds; and</li> <li>an analysis and description of the activities funded and how such activities conform to the submitted State plan.</li> </ul>
February 28, 2007	Title I, Section 102	39.011	January 1, 2006-December 31, 2006	Standard Form 269 with the following attached: <ul style="list-style-type: none"> <li>a detailed list of expenditures (including dollar amount) made for the replacement of punchcard and lever voting systems in accordance with HAVA Section 102(a)(2);</li> <li>the number and type of articles of voting equipment obtained with the funds; and</li> <li>an analysis and description of how the expenditures conform to the submitted State plan.</li> </ul>
March 30, 2007	Title II, Section 251  \$2,319,361.	90.401	October 1, 2005-September 30, 2006	Standard Form 269 with the following attached: <ul style="list-style-type: none"> <li>a list of expenditures made with respect to each category of activities described for the use of funds in HAVA Section 251;</li> <li>the number and type of articles of voting equipment obtained with the funds; and</li> <li>an analysis and description of the activities funded to meet HAVA requirements and how such activities conform to the submitted State plan.</li> </ul>

<sup>1</sup> Reports are due if the State has received funds under the HAVA title and section noted, and has not previously reported the expenditure of all such funds (including interest earned and, in the case of Title II, Section 251 payments, the 5% match).

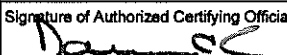
<sup>2</sup> Standard Form 269 may be accessed at <http://www.whitehouse.gov/omb/grants/sf269.pdf>. Color-coded model reporting forms and models of the supporting narrative are available on EAC's website at [www.eac.gov](http://www.eac.gov), under the Funding for States section.



**FINANCIAL STATUS REPORT  
(Long Form)**

*(Follow instructions on the back)*

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COMMISSION

1. Federal Agency and Organizational Element to Which Report is Submitted <b>U.S. ELECTION COMMISSION</b>		2. Federal Grant or Other Identifying Number Assigned By Federal Agency <b>TITLE II, SECTION 251, CFDA #90.401</b>		OMB Approval No. <b>0348-0039</b>	Page of <b>5: 10</b> pages
3. Recipient Organization (Name and complete address, including ZIP code) <b>STATE ELECTION COMMISSION OF PUERTO RICO P.O. BOX 195552 SAN JUAN, P.R. 00919-5552</b>					
4. Employer Identification Number <b>66-0433481</b>		5. Recipient Account Number or Identifying Number <b>272-0280000- -081-2004</b>		6. Final Report <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
7. Basis <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual					
8. Funding/Grant Period (See instructions) From: (Month, Day, Year) <b>3/1/2005</b>		9. Period Covered by this Report From: (Month, Day, Year) <b>10/1/2005</b>		To: (Month, Day, Year) <b>9/30/2006</b>	
10. Transactions:		I Previously Reported	II This Period	III Cumulative	
a. Total outlays		154,834.00	6,159.00	160,993.00	
b. Refunds, rebates, etc.		0.00	0.00	0.00	
c. Program income used in accordance with the deduction alternative		0.00	0.00	0.00	
d. Net outlays (Line a, less the sum of lines b and c)		154,834.00	6,159.00	160,993.00	
Recipient's share of net outlays, consisting of:					
e. Third party (in-kind) contributions		0.00	0.00	0.00	
f. Other Federal awards authorized to be used to match this award		0.00	0.00	0.00	
g. Program income used in accordance with the matching or cost sharing alternative		0.00	0.00	0.00	
h. All other recipient outlays not shown on lines e, f or g		0.00	0.00	0.00	
i. Total recipient share of net outlays (Sum of lines e, f, g and h)		0.00	0.00	0.00	
j. Federal share of net outlays (line d less line i)		154,834.00	6,159.00	160,993.00	
k. Total unliquidated obligations				0.00	
l. Recipient's share of unliquidated obligations				0.00	
m. Federal share of unliquidated obligations				0.00	
n. Total Federal share (sum of lines j and m)				160,993.00	
o. Total Federal funds authorized for this funding period				2,518,710.00	
p. Unobligated balance of Federal funds (Line o minus line n)				2,357,717.00	
Program Income, consisting of:					
q. Disbursed program income shown on lines c and/or g above				0.00	
r. Disbursed program income using the addition alternative				0.00	
s. Undisbursed program income				0.00	
t. Total program income realized (Sum of lines q, r and s)				0.00	
11. Indirect Expense	a. Type of Rate (Place "X" in appropriate box) <input checked="" type="checkbox"/> Provisional <input type="checkbox"/> Predetermined <input type="checkbox"/> Final <input type="checkbox"/> Fixed				
	b. Rate	c. Base	d. Total Amount	e. Federal Share	
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation. Please see Attachment "Detailed List of Expenditures By Project". The amount reported on line 10 a. (I) of \$154,384 was not reported before, although it is included for cumulative purposes from FY's 2003, 2004 and 2005 on Title II, section 251.					
13. Certification: I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.					
Typed or Printed Name and Title <b>Ramón E. Gomez, President, CEE</b>			Telephone (Area code, number and extension) <b>787-777-8682; ext. 2777 or 2272</b>		
Signature of Authorized Certifying Official 			Date Report Submitted <b>April 24, 2007</b>		